2019 PNW Chapter Mini-Seminar

Tuesday, October 22nd, 2019 — 7:50 AM - 4:00 PM

Honeywell, 15001 NE 36th Street, Redmond, WA

7:30—7:50	Registration	
7:50—8:00	Opening Remarks	Chamagne Rodriguez, Chapter Chair
8:00—9:00	Export Control/Shield America	Special Agent Godfrey Guerzon, DHS HSI
9:00—10:00	Deliver Uncompromised	Brian Kaveney, Partner and Tod Stephens, ISP, Armstrong Teasdale LLP
10:00—10:15	Break	
10:15—11:15	DiT: Lessons Learned and Looking Forward	Dave Bauer, DCSA Western Region Director
11:15—12:15	Insider Threat Case Studies	Raymond DuVall, DCSA CISA
12:15—1:30	Lunch and ISR Face Time	
1:30—2:30	eMASS, RMF, Unclassified Networks	John Perkins, DCSA CISSP
2:30—2:45	Break	
2:45—3:45	Security Reviews	Rojohn Soriano, DCSA SISS
3:45—4:00	Q4 PNW Chapter 19 Meeting	Chamagne Rodriguez, Chapter Chair

** Schedule/Speakers Subject to Change**

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Godfrey Guerzon

DHS, Special Agent, Counterproliferation Investigations Group, HSI

8:00—8:55 Export Control/Shield America

Description: The highest priority for Homeland Security Investigations (HSI) is to prevent illicit procurement networks, terrorist groups, and hostile nations from illegally obtaining U.S. military products, sensitive dual-use technology, weapons of mass destruction, or chemical, biological, radiological, and nuclear materials. HSI's Counter-Proliferation Investigations Program investigates violations of and enforces U.S. laws involving the export of military items and controlled dual-use goods as well as exports to sanctioned or embargoed countries. Through an industry and academic outreach program called Project Shield America, HSI Special Agents conduct presentations for U.S. manufacturers and exporters of arms and sensitive technology. The program provides an overview of export laws and solicits the private industry's assistance in preventing illegal foreign acquisition of their products.

Bio: Godfrey Guerzon began his career as a Homeland Security Investigations (HSI) Special Agent in 2001, conducting customs violation cases while assigned to groups at SeaTac International Airport and the Port of Tacoma. In 2004, Godfrey transferred to HSI Seattle's Counter-Proliferation Investigations Group, which enforces U.S. export laws involving weapons and sensitive technologies.

Godfrey is currently the manager of HSI Seattle's Undercover Operations Program. The program supports undercover agents and covert activities focused on targets within the HSI mission areas of Counter-Proliferation, Human Smuggling and Trafficking, Cyber Crime Related to Child Exploitation, and Intellectual Property Rights.

As an instructor for the U.S. Department of State's Export Control and Related Border Security (EXBS) Program, Godfrey has trained foreign government officials in Taiwan, Macedonia, Moldova, the Philippines, and Malaysia on topics such as counter-proliferation and export investigations, undercover operations, and first response to critical incidents.

Godfrey is a graduate of the U.S. Air Force Academy, earning a Bachelor's Degree in Biology in 1992. He also received a Master's Degree in Human Relations from the University of Oklahoma in 1999.

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Brian Kaveney
Partner
Armstrong Teasdale LLP

Tod Stephens
ISP, Lawyer

9:00—9:55 Deliver Uncompromised

Description: Our national defense is largely dependent upon technologies and capabilities developed and manufactured by our defense industrial base. Today, the defense industrial base is under attack. Our adversaries are stealing vast amounts of critical technology that jeopardize our mission readiness, the safety and security of our warfighters, and the security of our citizenry. These adversaries exploit supply chain vulnerabilities to steal America's intellectual property, corrupt our software, surveil our critical infrastructure, and carry out other malicious activities. They infiltrate trusted suppliers and vendors to target equipment, systems, and information used every day by the government, businesses, and individuals. Join us to learn about threats to your supply chain, what tools and resources are available and how effective supply chain risk management can mitigate these risks and ensure that DoD technology is Delivered Uncompromised.

Bio: Brian Kaveney is a nationally recognized leader in the field of security law and trusted counsel to numerous companies and business leaders. A former Marine infantry officer, Brian leads Armstrong Teasdale's Industrial Security Group in its representation of large public companies, research and development laboratories, private businesses, and trustworthy individuals. A popular and frequent national speaker, Brian has earned a national reputation in solving security clearance, government contracting, and federal regulatory issues. Brian's team includes former government personnel from DSS, the DOD CAF, the FBI, and other agencies, as well as security professionals who, like Brian, are NCMS members.

Tod Stephens, ISP ®, is an established leader in personnel security clearance law and facility clearance regulation. He assists FSOs and security leaders in minimizing risk when handling national security challenges. Tod routinely solves problems related to NISPOM compliance, Insider Threat Programs, and security clearance adjudications. He also speaks frequently across the nation, known for his entertaining educational style. Embedded into the Iraqi Army for two of his three combat tours in Iraq as an Army infantry officer, Tod earned the Bronze Star for Valor, the Purple Heart, and several other combat commendations. Now an NCMS member and ISP ®, Tod helps his clients regulate, investigate and litigate security clearance, facility clearance, and classified contract problems.

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David Bauer
DCSA Region Director, West Region
Industrial Security Field Operations
10:15—12:10 DiT: Lessons Learned and Looking Forward

Description: DSS in Transition is the Defense Security Service's enterprise-wide response to the most significant foreign intelligence threat the United States has ever encountered. Under their new name, DCSA still believes that "Partnering with Industry" is key to the success of the initiative. Expect to take away key insights, lessons learned, new agency partnerships, overview of CSR structure and expectations, vulnerabilities and impacts to our programs.

Bio: Since October 2015, Mr. David Bauer has served as the Western Region Director for Industrial Security Field Operations, Defense Counterintelligence and Security Agency (DCSA). Mr. Bauer is responsible for the management, administration, and oversight of 12 field locations and approximately 100 personnel who serve as the premier provider of industrial security risk management and security professional development services for cleared industry. Mr. Bauer's area of responsibility includes the western U.S. and extends from the Dakotas to Guam.

Mr. Bauer joined DCSA in March 2010 and has served as the Assistant Director for Strategic Engagement, and as the Assistant Director for Operations, Counterintelligence Directorate, DCSA. He has served in the Department of Homeland Security; Department of Health and the Department of Justice. Prior to beginning his federal civil service in 2003, Mr. Bauer served 21 years as a Chief Warrant Officer and CI Special Agent with the U.S. Army in multiple strategic and tactical assignments.

Mr. Bauer received his Bachelor of Arts degree in Liberal Arts from Western Illinois University and earned a Masters of Science in Strategic Intelligence from the Joint Military Intelligence College in 1999. He is a graduate of several advanced leadership, counterintelligence and counterterrorism training courses.

His awards include the Legion of Merit, Meritorious Service Medal (3 Awards), and multiple commendation and achievement awards.

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Raymond W. DuVall

DCSA Counterintelligence Special Agent

11:15—12:15 Insider Threat Case Studies

Description: This course provides a thorough understanding of how Insider Threat Awareness is an essential component of a comprehensive security program. With a theme of "if you see something, say something," the course promotes the reporting of suspicious activities observed within the place of duty. Using a few case study scenarios, the course teaches the common indicators which highlight actions and behaviors that can signify an insider threat. An organization's current and former employees, third-party vendors, contractors, business associates, office cleaning staff, and other entities who have physical or digital access to company resources, critical systems, and networks are collectively ranked in the same list as ransomware, spear phishing, and nation-state attacks. Key takeaways will exhibit case studies involving foreign intelligence, insider, and cyber threats faced by the defense industrial base. The course demonstrates risk management techniques and introduces learners to potential countermeasures that may be employed to mitigate risk at their facilities. The course provides students with knowledge, skills, and tools required to integrate counterintelligence awareness and risk management principals into their security programs and to foster a proactive, risk-focused culture among security professionals within the defense industry. The emphasis is to make employees aware of potential threats directed against U.S. technology. It also explains common suspicious activities which should be reported to the Facility Security Officer (FSO).

Bio: Raymond W. DuVall graduated from Yelm High School, Yelm, WA and received his Bachelor of Arts Degree in History/Social Studies for Secondary Education from Western Washington University. He enlisted in the Army in 1989 as a Counterintelligence Special Agent from which he retired in 2009. While in the military he received a Master's Degree in National Security Studies from American Military University. Ray is currently the Counterintelligence Special Agent for the Defense Counterintelligence and Security Agency, Tacoma Resident Office which is a position he has held for the past ten years.

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John Perkins
DCSA CISSP

1:30—2:25 eMASS, RMF and Unclassified Networks

Description: This course will address Risk Management Framework (RMF) and general best practice cybersecurity policies for a successful security review. Discussions will also include the current state of Cybersecurity within DoD and the appropriate transition timelines. In addition, this curriculum explores how it is used to manage the overall risk to an organization from different sources. Students will fully examine the framework components, enabling them to understand the entire process as it relates to their information system's entire lifecycle. This curriculum focuses on providing practical guidance on completing and maintaining the certification and accreditation process and on obtaining formal authority to operate. This course will improve awareness about the seriousness of cybersecurity as it relates to all employee actions, as well as the implications of those actions on national security, DoD information, and the organization's mission.

Bio: ISSP John Perkins joined the Navy in 1989 in the field of cryptology and signals intelligence. Moving to the Navy reserves, he transitioned to the computer security field in 2004. Eventually retiring in 2014.

Mr. Perkins civilian career has lead him to some very interesting roles. He has been a computer technician, building and repairing systems, and performing network administration. He has worked at the NSA in the Biometrics R&D lab and their Computer Security Incident Response Team. Moving to the Pacific Northwest, he spent time at Microsoft. Then worked as the ISSO at the Seattle FBI field office.

Joining the Defense Security Service in 2013, John has worked diligently with Industry to make sound decisions and ensure the security of classified information.

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Rojohn G. Soriano
DCSA Senior Industrial Security Specialist (SISS)

2:45—3:40 Security Reviews

Description: DSS in transition (DiT) traditional NISPOM-based compliance inspections have changed to a threat-driven, asset-focused, intelligence-led initiative. What does this mean to you as the FSO? How can you help your organization evolve and obtain the support you need to continue to be successful? This workshop will provide first-hand experience and impart practical knowledge needed for preparation success for each of the new review categories: Comprehensive Security Reviews, Targeted Security Reviews, Enhanced Security Vulnerability Assessments, and Meaningful Engagement. Expect to learn about the resulting five-step methodology model to include Prioritization, Security Baseline, Security Review, Tailored Security Plan and Continuous Monitoring.

Bio: Mr. Rojohn Soriano graduated from the University of Washington in 1996 with a Bachelor of Arts Degree in Business Administration. He began his government service in September 1996 as a Security Specialist with the Department of the Air Force. Mr. Soriano is a credentialed DoD Security Professional under the Security Professional Education Development (SPēD) Certification Program, holding professional certifications in Security Fundamentals (SFPC), Security Asset Protection (SAPPC), Security Program Integration (SPIPC), Special Program Security (SPSC), Industrial Security Oversight (ISOC), and Physical Security (PSC). He is also a 2018 graduate of DCSA's (formerly DSS) initial Leadership Development Program (LDP) class.

Mr. Soriano currently serves as a Senior Industrial Security Representative (SISR) at the DCSA San Francisco Field Office, Tacoma Resident Office, where he is charged with providing industrial security oversight and critical technology protection for over 90 contractor facilities cleared under the National Industrial Security Program (NISP) in Washington, Oregon, Idaho, and Montana.

Mr. Soriano also served as a Curriculum Manager for Industrial Security (Internal), Information Security, and as an instructor at the DCSA Center for Development of Security Excellence (CDSE). Previous DCSA positons include serving as an SISR at the DCSA Seattle and Tacoma Field Offices and as an Industrial Security Representative (ISR) at the DCSA Pleasanton/ Sunnyvale Field Office, Lakewood, WA, Resident Office.